



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Ekwuribe et al.

Serial No.: 09/429,798 Filed: October 29, 1999 Group Art Unit: 1627

Examiner: T. Prasthoferi

For:

BLOOD-BRAIN BARRIER THERAPEUTICS

Date: October 31, 2002

Commissioner for Patents Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

Attached is a form PTO-1449, together with a copy of the identified document(s). This Information Disclosure Statement is submitted in accordance with 37 C.F.R. § 1.97(b), within three months of the filing date of the above-referenced application or before the mailing of a first Office Action on the merits, whichever event occurs last. Accordingly, no fee is required. The Commissioner is authorized to charge any additional fee, or credit any refund, to our Deposit Account No. 50-0220.

Michael Strickland Registration No. 47,115

USPTO Customer No.:

PATENT TRADEMARK OFFICE

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on October 31, 2002.

Monica L. Croom

			4
•	•		•

FORM PTO-1449 U.S. Department of Commerce Patent and Trademark Office				Attorney Docket Number 9233-8DV1			Serial No. 09/429,798				
OIPE											
HOY 0 6 2002 (Use several sheets if necessary)				Applicants: Ekwuribe et al.							
PADEMARK OF S				Filing Date: October 29, 1999			Group 1627				
U. S. PATENT DOCUMENTS											
Examiner Initial		Document Number	Date	Name		Class	Subclass	Filing Date if Appropriate			
	1.	5,681,811	10/28/97	Ekwuribe		514	8				
	2.	5,693,769	12/02/97	Kahne et al.		536	5				
							一点	-			
							TECH CENTER 1	m			
· · · · · · · · · · · · · · · · · · ·							写言	· M			
							里。				
					<u></u>		1600/2900	₹ M			
							<u>8</u>	_ O			
				<u> </u>							
		1	FORE	IGN PATENT	DOCUMENTS	I	1	T. 1.:			
		Document Number	Date	Country		Class	Subclass	Translation Yes No			
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)											
		·									

EXAMINER EXAMINER

DATE CONSIDERED